

PTO/SB/08 (2-92)

Sheet 1 of 8

For PTO-1449

Docket Number: 00032.05CON

Application Number: 10/816,407

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 4/1/2004

Group Art Unit: Not Yet Known

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
M	1.	02/02/1971	3,560,607	Hartley et al.			
	2.	05/27/1980	Re. 30,285	Babington			
	3.	01/23/1990	4,895,719	Radhakrishnun et al.			
	4.	09/17/1991	5,049,389	Radhakrishnan			
	5.	02/14/1995	5,388,574	Ingebretsen			
	6.	08/13/1996	5,544,646	Lloyd et al.			
	7.	08/12/1997	5,655,523	Hodson et al.			
	8.	12/09/1997	5,694,919	Rubsamen et al.			
	9.	04/07/1998	5,735,263	Rubsamen et al.			
	10.	04/14/1998	5,738,865	Baichwal et al.			
	11.	04/14/1998	5,738,865	Baichwal et al.			
	12.	06/02/1998	5,758,637	Ivri et al.			
	13.	06/29/1999	5,915,378	Lloyd et al.			
	14.	08/10/1999	5,934,272	Lloyd et al.			
	15.	09/28/1999	5,957,124	Lloyd et al.			
	16.	10/05/1999	5,960,792	Lloyd et al.			
	17.	11/30/1999	5,993,805	Sutton et al.			
	18.	03/28/2000	6,041,777	Faithfull et al.			
	19.	04/18/2000	6,051,566	Bianco			
	20.	08/01/2000	6,095,153	Kessler et al.			
	21.	08/15/2000	6,102,036	Slutsky et al.			
	22.	06/05/2001	6,241,969	Saidi et al.			
	23.	07/03/2001	6,255,334	Sands			
M	24.	11/06/2001	6,313,176	Ellinwood, Jr. et al.			

EXAMINER:

DATE CONSIDERED: 11/28/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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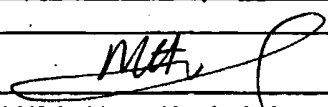
Group Art Unit: Not Yet Known

M	25.	01/14/2003	6,506,762	Horvath et al.			
	26.	02/04/2003	6,514,482	Bartus et al.			
	27.	07/15/2003	6,591,839	Meyer et al.			
	28.	09/06/2001	US 2001/0020147	Staniforth et al.			
	29.	03/28/2002	US 2002/0037828	Wilson et al.			
	30.	05/16/2002	US 2002/0058009	Bartus et al.			
	31.	07/04/2002	US 2002/0086852	Cantor			
	32.	08/22/2002	US 2002/0112723	Schuster et al.			
	33.	11/28/2002	US 2002/0176841	Barker et al.			
	34.	01/02/2003	US 2003/0004142	Prior et al.			
M	35.	02/13/2003	US2003/0032638	Kim et al.			

RELATED ALEXZA MOLECULAR DELIVERY CORPORATION PATENT DOCUMENTS

M	36.	08/04/2003	/ 10/633,876	Hale et al.			
	37.	08/04/2003	/ 10/633,877	Hale et al.			
	38.	12/30/2003	/ 10/749,537	Rabinowitz et al.			
	39.	12/30/2003	/ 10/749,539	Rabinowitz et al.			
	40.	01/27/2004	/ 10/766,149	Rabinowitz et al.			
	41.	01/27/2004	/ 10/766,279	Rabinowitz et al.			
	42.	01/27/2004	/ 10/766,566	Rabinowitz et al.			
	43.	01/27/2004	/ 10/766,574	Rabinowitz et al.			
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	46.	01/28/2004	/ 10/767,115	Rabinowitz et al.			
	47.	01/29/2004	/ 10/768,205	Rabinowitz et al.			
	48.	01/29/2004	/ 10/768,220	Rabinowitz et al.			
	49.	01/29/2004	/ 10/768,281	Rabinowitz et al.			
	50.	01/29/2004	/ 10/768,293	Rabinowitz et al.			
M	51.	01/30/2004	/ 10/769,046	Rabinowitz et al.			

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M	52.	01/30/2004	/	10/769,051	Rabinowitz et al.			
	53.	01/29/2004	/	10/769,157	Rabinowitz et al.			
	54.	01/29/2004	/	10/769,197	Rabinowitz et al.			
	55.	02/09/2004	/	10/775,583	Rabinowitz et al.			
	56.	02/09/2004	/	10/775,586	Rabinowitz et al.			
	57.	03/03/2004	/	10/791,915	Hale et al.			
	58.	03/03/2004	/	10/792,001	Rabinowitz et al.			
	59.	03/03/2004	/	10/792,012	Hale et al.			
	60.	03/03/2004	/	10/792,013	Rabinowitz et al.			
	61.	03/03/2004	/	10/792,096	Hale et al.			
	62.	03/03/2004	/	10/792,239	Hale et al.			
	63.	03/31/2004	/	10/813,721	Rabinowitz et al.			
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	65.	03/31/2004	/	10/814,690	Rabinowitz et al.			
	66.	03/31/2004	/	10/814,998	Rabinowitz et al.			
	67.	04/01/2004	/	10/815,527	Rabinowitz et al.			
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	70.	08/04/2004		10/912,462	Hale et al.			
	71.	01/23/2003		US 2003/0015196	Hodges et al.			
	72.	04/03/2003		US 2003/0062042	Wensley et al.			
	73.	11/13/2003		US 2003/0209240	Hale et al.			
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	77.	01/09/2003		US 2003/0007933	Rabinowitz et al.			
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	79.	01/16/2003		US 2003/0012737	Rabinowitz et al.			
M	80.	01/16/2003		US 2003/0012738	Rabinowitz et al.			

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M	81.	1/16/2003	US 2003/0012740	Rabinowitz et al.			
	82.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
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	93.	01/30/2003	US 2003/0021755	Hale et al.			
	94.	02/20/2003	US 2003/0035776	Hodges et al.			
	95.	05/15/2003	US 2003/0091511	Rabinowitz et al.			
	96.	05/27/2004	US 2004/010148	Hale et al.			
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	103.	06/03/2004	US 2004/0105819	Hale et al.			
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	107.	07/01/2004	US 2004/0126329	Rabinowitz et al.			
	108.	07/01/2004	US 2004/0127481	Rabinowitz et al.			
M	109.	07/01/2004	US 2004/0127490	Rabinowitz et al.			

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m	110.	08/12/2004	US 2004/0156788	Rabinowitz et al.			
	111.	08/12/2004	US 2004/0156789	Rabinowitz et al.			
	112.	8/12/2004	US 2004/0156790	Rabinowitz et al.			
m	113.	08/12/2004	US 2004/0156791	Rabinowitz et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
m	114.	03/14/1990	EP 0 358 114 /	Europe			
	115.	07/03/2001	EP 1 080 720 /	Europe			
	116.	08/29/2001	EP 0 606 486 /	Europe			
	117.	02/14/1985	WO 85/00520 /	WIPO			
	118.	06/13/1991	WO 91/07947 /	WIPO			
	119.	04/11/1996	WO 96/09846 /	WIPO			
	120.	05/09/1996	WO 96/13161 /	WIPO			
	121.	05/09/1996	WO 96/13290 /	WIPO			
	122.	05/09/1996	WO 96/13291 /	WIPO			
	123.	05/09/1996	WO 96/13292 /	WIPO			
	124.	10/03/1996	WO 96/30068 /	WIPO			
	125.	08/07/1997	WO 97/27804 /	WIPO			
	126.	05/28/1998	WO 98/22170 /	WIPO			
	127.	07/23/1998	WO 98/31346 /	WIPO			
	128.	08/27/1998	WO 98/36651 /	WIPO			
	129.	04/08/1999	WO 99/16419 /	WIPO			
	130.	12/16/1999	WO 99/64094 /	WIPO			
	131.	01/06/2000	WO 00/00176 /	WIPO			
m	132.	01/06/2000	WO 00/00215 /	WIPO			

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mth

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M	133.	05/18/2000	WO 00/27363 /	WIPO			
	134.	05/25/2000	WO 00/29053 /	WIPO			
	135.	09/17/2000	WO 00/47203 /	WIPO			
	136.	11/02/2000	WO 00/64940 /	WIPO			
	137.	11/09/2000	WO 00/66084 /	WIPO			
	138.	11/09/2000	WO 00/66206 /	WIPO			
	139.	12/21/2000	WO 00/76673 /	WIPO			
	140.	01/25/2001	WO 01/05459 /	WIPO			
	141.	03/28/2002	WO 02/24158 /	WIPO			
	142.	03/28/2002	WO 02/24158 /	WIPO			

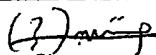
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OTHER DOCUMENTS <i>(including author, title, Date, Pertinent Pages; Etc.)</i>			
Examiner Initials	Ref. No.	Title	
M	143.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.	
	144.	Carroll, M.E. et al. (1990), "Cocaine-base smoking in rhesus monkeys: reinforcing and physiological effects," <i>Psychopharmacology</i> (Berl). 102:443-450.	
	145.	Clark, A. and Byron, P. (1986). "Dependence of Pulmonary Absorption Kinetics on Aerosol Particle Size," <i>Z. Erkrank.</i> 166:13-24.	
	146.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society.</i> 966-974.	
	147.	Database Biosis "Online!" Biosciences Information Service, Philadelphia, PA 1979, Knight, V. et al., "Amantadine aerosol in humans", database accession no. PREV 198069035552 abstract, &Antimicrobial Agents and Chemotherapy 16(5):572-578.	
	148.	Database Biosis "Online!" Biosciences Information Service, Philadelphia, PA 1979, Wilson. S.Z. et al., "Amatadine Aerosol Particle Aerosol Generation and Delivery to Man" Database accession no. PREV198069008137, abstract & Proceedings of the Society for Experimental Biology and Medicine 161(3):350-354.	
	149.	Davies, C.N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology.</i> 32(5):591-600.	
	150.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology.</i> 93(3): 619-628.	
	151.	Finlay, W.H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14 (Table of Contents). pp. v-viii.	
	152.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.	
	153.	Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry & Behavior.</i> 36(1):1-7.	
M	154.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μ m," <i>J. Aerosol Sci.</i> 17(5):811-822.	
EXAMINER: <u>MH</u> DATE CONSIDERED: <u>11/28/04</u>			
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47, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, 300, 301, 302, 303, 304, 305, 306, 307, 308, 309, 310, 311, 312, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322, 323, 324, 325, 326, 327, 328, 329, 330, 331, 332, 333, 334, 335, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 348, 349, 350, 351, 352, 353, 354, 355, 356, 357, 358, 359, 360, 361, 362, 363, 364, 365, 366, 367, 368, 369, 370, 371, 372, 373, 374, 375, 376, 377, 378, 379, 380, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000

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	Filing Date: 4/1/2004	Group Art Unit: Not Yet Known

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